

Optimum Receivers for the AWGN channel

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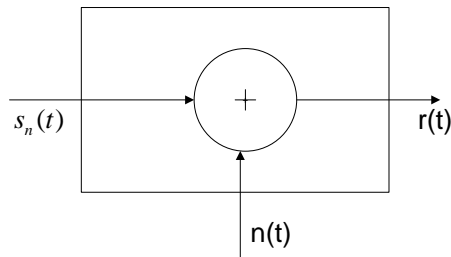
AWGN: Additive White Gaussian Noise

Objective :

- Receiver design
- Performance evaluation (memory, No memory)

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Optimum Receiver

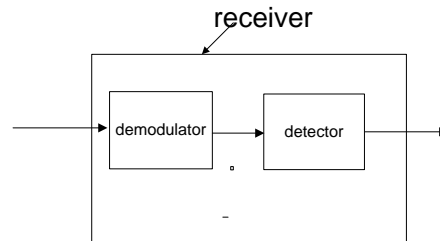


$$r(t) = s_m(t) + n(t) \quad 0 \leq t \leq T$$

$$\{s_m(t), m = 1, 2, \dots, M\}$$

$$S_{mm}(f) = \frac{1}{2} N_0 \quad W / Hz$$

Objective: Upon observation of $r(t)$, what is the optimum receiver “design” in terms of probability of making error.



Demodulator : converts the received waveform $r(t)$ into an N -dimensional vector

$\bar{r} = [r_1 \ r_2 \ \dots \ r_N]$ where N is the dimension of the transmitted signal.

Detector: Is to decide which of the M possible signals waveforms was transmitted based on \bar{r} .

Types of detectors

1. The optimum detector
2. Maximum likelihood sequence detector
3. Symbol by symbol MAP

Optimum Demodulator

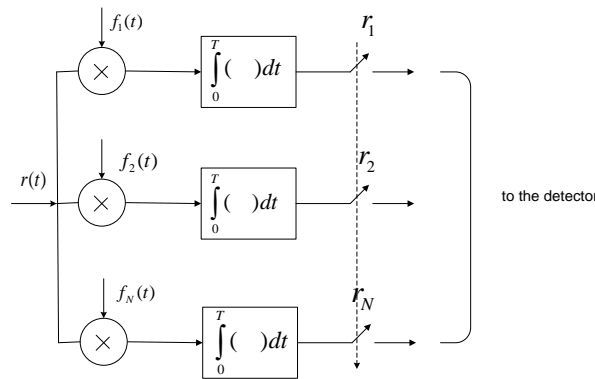
1. Correlators
2. Matched filters

Correlation Demodulator

Decomposes the received signal-to-noise ratio into N -dimensional vectors.

- Linearly weighted orthogonal basis functions $\{f_n(t)\}$, where $\{f_n(t)\}$ spans the signal space but not the noise space.

We can show that the noise terms that falls outside the signal space is irrelevant to the detection.



$$\int_0^T r(t) f_k(t) dt = \int_0^T [s_m(t) + n(t)] f_k(t) dt$$

$$r_k = s_{mk} + n_k \quad k = 1, 2, \dots, N$$

where

$$s_{mk} = \int_0^T s_m(t) f_k(t) dt, \quad k = 1, 2, \dots, N$$

$$n_k = \int_0^T n(t) f_k(t) dt, \quad k = 1, 2, \dots, N$$

$$r(t) = \sum_{k=1}^N s_{mk} f_k(t) + \sum_{k=1}^N n_k f_k(t) + n'(t) = \sum_{k=1}^N r_k f_k(t) + n'(t)$$

$$\Rightarrow n'(t) = n(t) - \sum_{k=1}^N n_k f_k(t)$$

$n'(t)$ is zero mean Gaussian noise process. It is the unrepresented part of noise “inherent”.

$n'(t)$ is Gaussian because it is the sampled output of a linear filter excited by a Gaussian input.

$$E(n_n) = \int_0^T E[n(t)] f_k(t) dt = 0 \quad \text{for all } k$$

$$\begin{aligned} E(n_k m_k) &= \int_0^T \int_0^T E[n(\tau) n(t)] f_k(t) f_m(\tau) dt d\tau \\ &= \frac{1}{2} N_0 \int_0^T \int_0^T \delta(t - \tau) f_k(t) f_m(\tau) dt d\tau \\ &= \frac{1}{2} N_0 \int_0^T f_k(t) f_m(\tau) dt = \frac{1}{2} N_0 \delta_{mk} \end{aligned}$$

$$\delta_{mk} = 1$$

$\delta_{mk} = 1$, when $m=k$ and zero otherwise.

- $\{n_k\}$ are zero mean uncorrelated random variable with common covariance

$$\sigma_n^2 = \frac{1}{2} N_0$$

$$E[r_k] = E[s_{mk} + n_k] = s_{mk}$$

$$\sigma_r^2 = \sigma_n^2 = \frac{1}{2} N_0$$

Gaussian uncorrelated implies statistically independent.

$$\bar{r} = [r_1 \quad r_2 \quad \dots \quad r_N]$$

$$p(\bar{r}/\bar{s}_m) = \prod_{k=1}^N p(r/s_{mk}) \quad m = 1, 2, \dots, M$$

$$\text{when } p(r/s_{mk}) = \frac{1}{\sqrt{\pi N_0}} \exp\left[-\frac{(r_k - s_{mk})^2}{N_0}\right], \quad k = 1, 2, \dots, N$$

The joint conditional pdf

$$p(\bar{r}/\bar{s}_m) = \frac{1}{(\pi N_0)^{N/2}} \exp\left[-\sum_{k=1}^N \frac{(r_k - s_{mk})^2}{N_0}\right], \quad m = 1, 2, \dots, M$$

As a final step we can show that (r_1, r_2, \dots, r_N) are sufficient statistics.

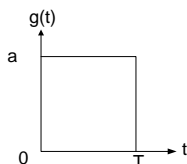
No additional relevant information can be extracted from $n'(t)$.

$$E[n'(t)r_k] = 0 \text{ uncorrelated proof p 235}$$

Gaussian and uncorrelated implies statistically independent which implies ignore $n'(t)$

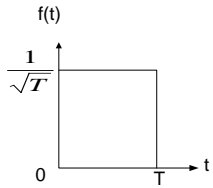
Example

M-ary PAM



$$E_g = \int_0^T g^2(t) dt = \int_0^T a^2 dt = a^2 T$$

PAM one basis function



$$f(t) = \frac{1}{\sqrt{a^2 T}} g(t) = \begin{cases} 1/\sqrt{T} & 0 \leq t \leq T \\ 0 & \text{otherwise} \end{cases}$$

The output of the correlator

$$r = \int_0^T r(t) f(t) dt = \frac{1}{\sqrt{T}} \int_0^T r(t) dt$$

The correlator becomes a simple integrator when $f(t)$ is rectangular .

$$r = \frac{1}{\sqrt{T}} \left\{ \int_0^T [s_m(t) + n(t)] dt \right\} = \frac{1}{\sqrt{T}} \left[\int_0^T s_m(t) dt + \int_0^T n(t) dt \right]$$

$$r = s_m + n$$

$$E[n] = 0$$

$$\sigma_n^2 = E \left[\frac{1}{T} \int_0^T \int_0^T n(t) n(\tau) dt d\tau \right] = \frac{1}{T} \int_0^T \int_0^T E[n(t) n(\tau)] dt d\tau$$

$$= \frac{N_0}{2T} \int_0^T \int_0^T \delta(t - \tau) dt d\tau = \frac{1}{2} N_0$$

pdf of the sampled output $p(r/s_m) = \frac{1}{\sqrt{\pi N_0}} \exp\left[-\frac{(r - s_m)^2}{N_0}\right]$

Matched filter Demodulator

We use N filters

$$h_k(t) = \begin{cases} f_k(T-t) & 0 \leq t \leq T \\ 0 & \text{otherwise} \end{cases}$$

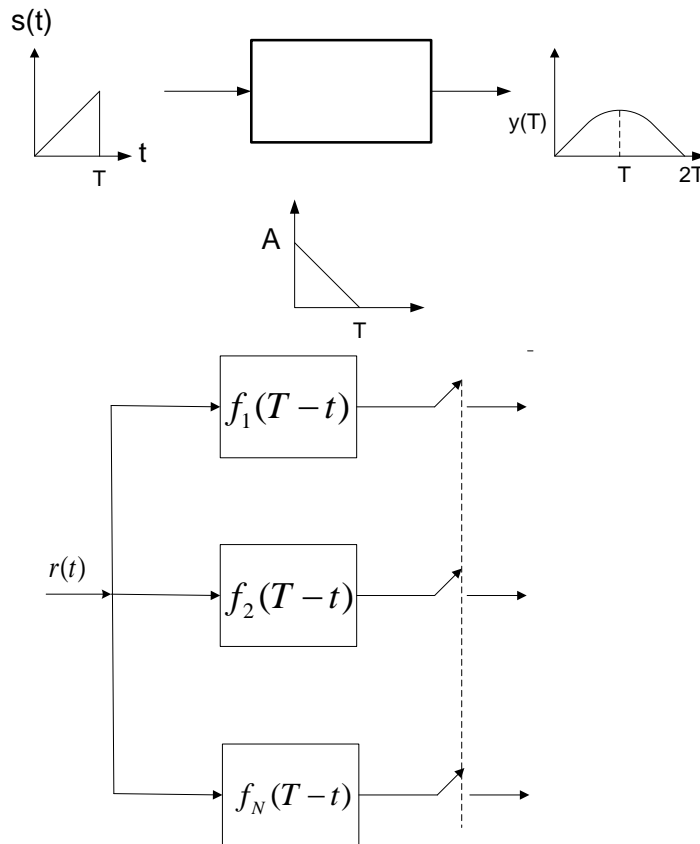
$$y_k(t) = \int_0^t r(\tau) h_k(t-\tau) d\tau$$

$$= \int_0^t r(\tau) f_k(T-t+\tau) d\tau \quad k = 1, 2, \dots, N$$

If we sample at the end the period $t=T$

$$y_k(T) = \int_0^T r(\tau) f_k(\tau) d\tau = r_k \quad k = 1, 2, \dots, N$$

Matched filter: A filter whose impulse response $h(t) = s(T-t)$ or $s(t)$ where $s(t)$ is assumed to be confined to the time interval $0 \leq t \leq T$.



Property of Matched filter: If a signal $s(t)$ is corrupted by AWGN, the filter with an impulse response matched to $s(t)$ maximizes the output signal to noise ratio (SNR).

Proof :

$$y(t) = \int_0^t r(\tau)h(t-\tau)d\tau = \int_0^t s(\tau)h(t-\tau)d\tau + \int_0^t n(\tau)h(t-\tau)d\tau \quad \text{at } t=T$$

$$y(T) = \int_0^T s(\tau)h(T-\tau)d\tau + \int_0^T n(\tau)h(T-\tau)d\tau$$

$$= y_s(T) + y_n(T)$$

$$SNR_0 = \frac{y_s^2(T)}{E[y_n^2(T)]}$$

where $E[y_n^2(T)]$ noise variance

$$E[y_n^2(T)] = \int_0^T \int_0^T E[n(\tau)n(t)]h(T-\tau)h(T-t)dt d\tau$$

$$= \frac{1}{2} N_0 \int_0^T \int_0^T \delta(t-\tau)h(T-\tau)h(T-t)dt d\tau$$

$$= \frac{1}{2} N_0 \int_0^T h^2(T-t)dt$$

$$SNR_0 = \frac{\left[\int_0^T s(\tau)h(T-\tau)d\tau \right]^2}{\frac{1}{2} N_0 \int_0^T h^2(T-t)dt} = \frac{\left[\int_0^T h(\tau)s(T-\tau)d\tau \right]^2}{\frac{1}{2} N_0 \int_0^T h^2(T-t)dt}$$

Can we maximize the numerator while the denominator is held constant.

Cauchy-Schwarz inequality:

$$\left[\int_{-\infty}^{\infty} g_1(t)g_2(t)dt \right]^2 \leq \int_{-\infty}^{\infty} g_1^2(t)dt \int_{-\infty}^{\infty} g_2^2(t)dt$$

With equality when $g_1(t) = c g_2(t)$

$g_1(t) = h(t)$, $g_2(t) = s(T-t)$ more when $h(t) = c s(T-t)$, c^2 drop from the numerator and denominator

$$SNR_0 = \frac{2}{N_0} \int_0^T s^2(t)dt = \frac{2E}{N_0}$$

Property: The output SNR from the matched filter depends on the energy of the waveform $s(t)$ but not on the details (shape) of $s(t)$.

Frequency domain interpretation of matched filter

$$Y(f) = |S(f)|^2 e^{-j2\pi ft}$$

$$Y_s(t) = \int_{-\infty}^{\infty} Y(f) e^{j2\pi ft} df = \int_{-\infty}^{\infty} |S(f)|^2 e^{-j2\pi ft} e^{j2\pi ft} df$$

at $t = T$

$$Y_s(T) = \int_{-\infty}^{\infty} |S(f)|^2 df = \int_{-\infty}^{\infty} s^2(t) dt = E \quad \text{Pasaval's relation}$$

Noise at the output of the matched filter

$$\Phi(f) = \frac{1}{2} |H(f)|^2 N_0$$

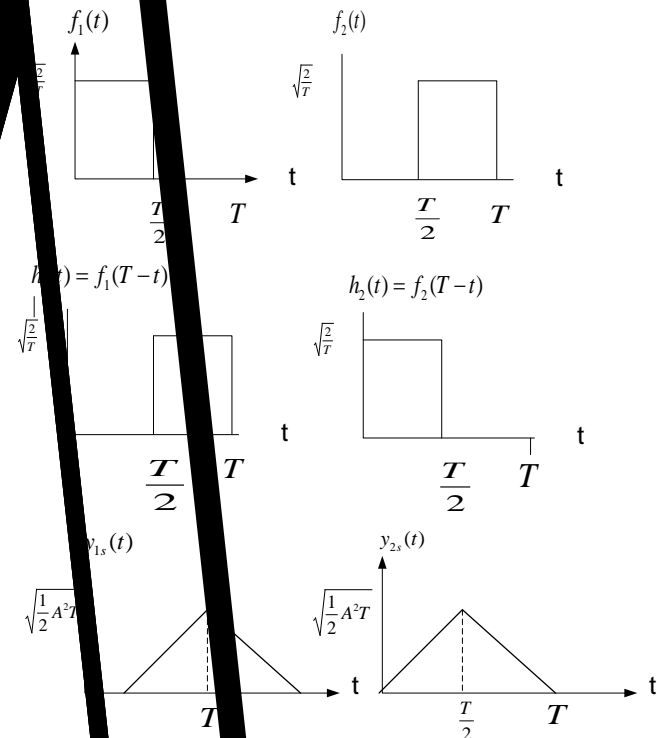
$$P_n = \int_{-\infty}^{\infty} \Phi(f) df = \frac{1}{2} N_0 \int_{-\infty}^{\infty} |H(f)|^2 df = \frac{1}{2} N_0 \int_{-\infty}^{\infty} |S(f)|^2 df = \frac{1}{2} EN_0$$

$$E \Rightarrow SNR_0 = \frac{P_s}{P_n} = \frac{E^2}{\frac{1}{2} EN_0} = \frac{2E}{N_0}$$

Matched filter and correlator are equivalent at $t=T$ but matched filter is immune to time jitters.

Example 1.2

M=4 bi-orthogonal signals



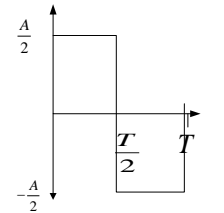
Note the response to $s_1(t)$ is evaluated at T

$$\bar{r} = [r_1 \ r_2] = [\sqrt{E} + n_1 \ n_2]$$

$$SNR = \frac{(\sqrt{E})^2}{\frac{1}{2}N_0} = \frac{2E}{N_0}$$

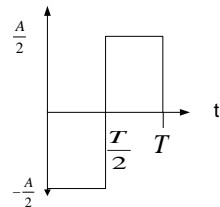
Additional Example (Matched Filters)

Consider the signal $s(t)$



a) Determine the impulse response of a filtered matched to this signal and sketch it.

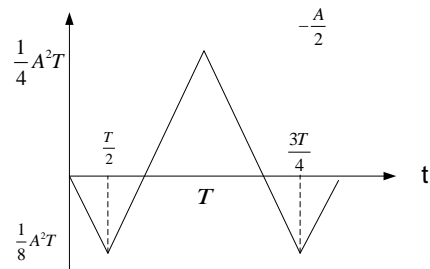
$$h(t) = s(T-t)$$



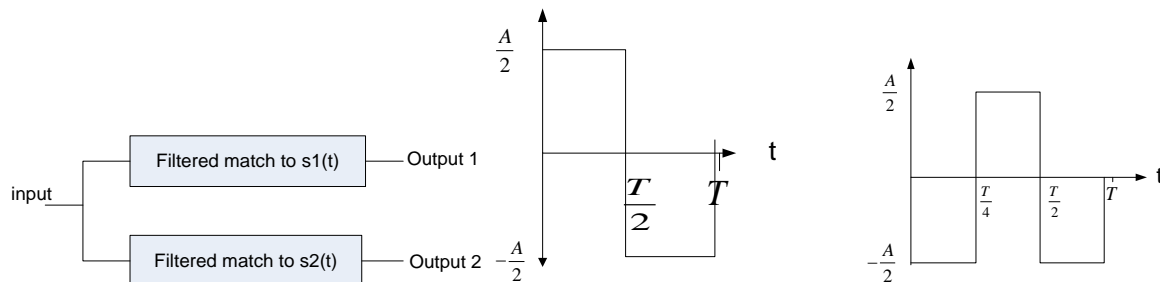
b) Determine the matched filter output as function of time.

c) This is done by convolution, we may ask about the values at the peak (figure)

$$A^2T/4 = E^2$$



A pair of pulses that are orthogonal to each other over the interval $[0, T]$, are used for two dimensional matched filter



What is the output of the matched filter at T ?

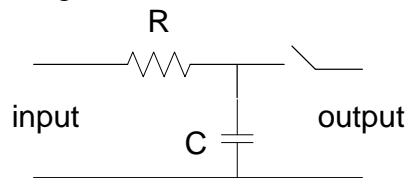
- a) When $s_1(t)$ is applied to the two branches.
- b) When $s_2(t)$ is applied to the two branches.

First branch is shown in problem 4.1

Second branch is zero [generalize to all orthogonal signals].

Additional Problems on Matched filters.

Another method for approximating “realization” of matched filter is the (RC) low pass filter [integrator].

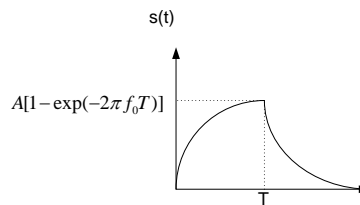


$$H(f) = \frac{1}{1 + j \frac{f}{f_0}}, f_0 = \frac{1}{2\pi RC}$$

The input signal is rectangular of pulse amplitude A and duration T.

Objective: Optimize the selection of 3-dB cutoff frequency f_0 of the filter. So that the peak output SNR is maximized.

Show that $f_0=0.2/T$ is the optimum. Compared to matched filter 1dB loss.



The peak value of the output power is

$$P_{out} = A^2 [1 - \exp(-2\pi f_0 T)]^2$$

f_0 is the 3-dB cutoff frequency of the RC filter.

$$N_{out} = \frac{N_0}{2} \int_{-\infty}^{\infty} \frac{df}{1 + (f/f_0)^2} = \frac{N_0 \pi f_0}{2}$$

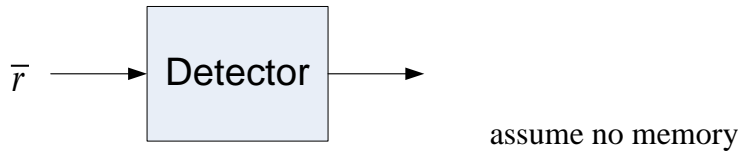
The corresponding value for the SNR

$$(SNR)_{out} = \frac{2A^2}{N_0 \pi f_0} [1 - \exp(-2\pi f_0 T)]$$

Differentiating with respect to $(f_0 T)$ and setting the result equal to zero.

The maximum value of $(SNR)_{out}$ is at $f_0=0.2/T$.

The Optimum Detector



Objective: Maximize the probability of correct decisions.

Posterior probabilities.

$P(\text{signal } \bar{s}_m \text{ was transmitted} | \bar{r}) = P(\bar{s}_m | \bar{r})$ where $m=1,2,..M$

Hence the name maximum a posteriori probability (MAP)

Using Baye's rule: $P(\bar{s}_m | \bar{r}) = \frac{P(\bar{r} | \bar{s}_m)P(\bar{s}_m)}{P(\bar{r})}$

$P(\bar{s}_m)$ a priori probability of the m^{th} signal.

$$P(\bar{r}) = \sum_{m=1}^M P(\bar{r} | \bar{s}_m)P(\bar{s}_m)$$

When the M-signals are equally probable $P(\bar{s}_m) = 1/M$ for all m.

The same rule that maximizes $P(\bar{s}_m | \bar{r})$ is equivalent to maximizing $P(\bar{r} | \bar{s}_m)$

Likelihood function: is the conditional PDF $P(\bar{r} | \bar{s}_m)$ or any monotonic function of it.

⇒ Maximum –likelihood (ML) criterion.

MAP = ML if $\{ \bar{s}_m \}$ is equi-probable.

For AWGN , the likelihood function is given by 5.1.12

$$P(\bar{r} | \bar{s}_m) = \frac{1}{(\pi N_0)^{N/2}} \exp \left[-\sum_{k=1}^N \frac{(r_k - s_{mk})^2}{N_0} \right]$$

or

$$\ln P(\bar{r} | \bar{s}_m) = -\frac{1}{2} N - \ln(\pi N_0) - \frac{1}{N_0} \sum_{k=1}^N (r_k - s_{mk})^2$$

Maximizing $\ln P(\bar{r} | \bar{s}_m)$ is equivalent to minimizing

$$D(\bar{r} | \bar{s}_m) = \sum_{k=1}^N (r_k - s_{mk})^2$$

where

$D(\bar{r} | \bar{s}_m)$ is Euclidean distance and $m= 1,2,..M$

Minimum Distance Detection

Another interpretation of ML criterion.

$$D(\bar{r}, \bar{s}_m) = \sum_{n=1}^N \bar{r}_n^2 - 2 \sum_{n=1}^N \bar{r}_n \bar{s}_{mn} + \sum_{n=1}^N \bar{s}_{mn}^2$$

$$= \left\| \bar{r} \right\|^2 - 2 \bar{r} \cdot \bar{s}_m + \left\| \bar{s}_m \right\|^2 \quad \text{where } m = 1, 2, 3, \dots, M$$

$$D'(\bar{r}, \bar{s}_m) = -2 \bar{r} \cdot \bar{s}_m + \left\| \bar{s}_m \right\|^2$$

Let $C(\bar{r}, \bar{s}_m) = -D'(\bar{r}, \bar{s}_m)$

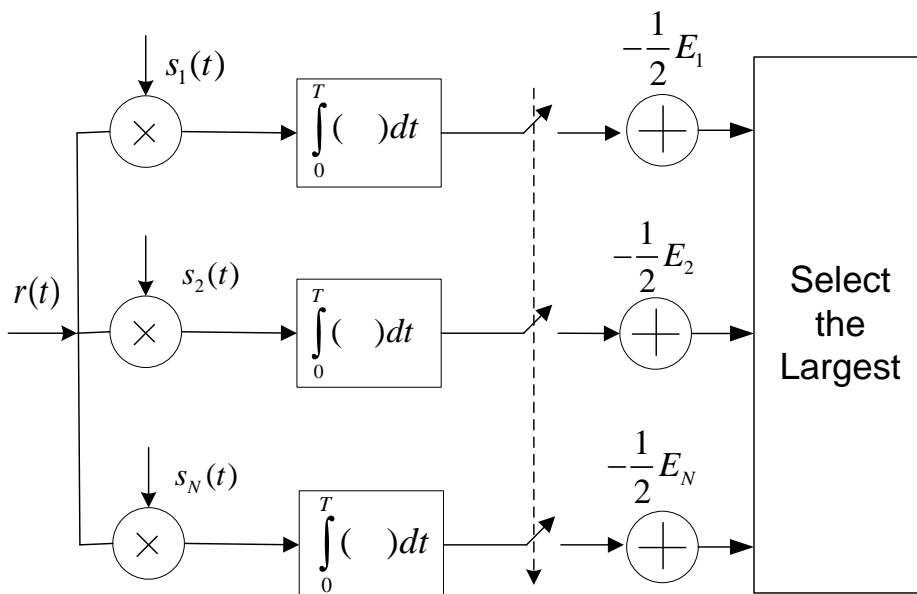
To get rid of the minus. Now, we maximize C rather than minimize D.

$$C(\bar{r}, \bar{s}_m) = 2 \bar{r} \cdot \bar{s}_m - \left\| \bar{s}_m \right\|^2$$

$\left\| \bar{s}_m \right\|^2$ can be eliminated if energy is fixed for all $m = 1, 2, \dots, M$, but cannot if signals have unequal energy (PAM).

$$C(\bar{r}, \bar{s}_m) = 2 \int_0^T r(t) s_m(t) dt - E_m \quad m = 0, 1, 2, \dots, M$$

An alternative realization of the optimum AWGN receiver.



Summary:

Optimum ML

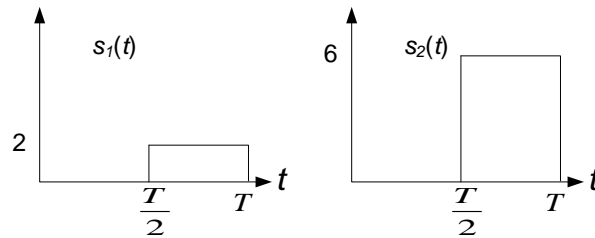
- 1) compute $D(\bar{r}, \bar{s}_m)$ or $D'(\bar{r}, \bar{s}_m) \rightarrow$ distance metrics and chooses the smallest or

- 2) compute $C(\bar{r}, \bar{s}_m) \rightarrow$ correlator metrics and choose the largest
- 3) ML= MAP if equiprobable $\{ \bar{s}_m \}$, otherwise

$$PM(\bar{r}, \bar{s}_m) = P(\bar{r} | \bar{s}_m)P(\bar{s}_m)$$

Example:

Let us assume that we are sending one of two levels either 2 or 6 as shown in the figure. To illustrate the importance of subtracting the energy of the symbol. We will consider two cases. The first one will assume that the received amplitude is 4 and then we will consider the amplitude to be 3.



$$C(\bar{r}, \bar{s}_m) = 2 \cdot \bar{r} \cdot \bar{s}_m - \|\bar{s}_m\|^2$$

For the middle case with amplitude 4 we get a fair comparison after removing the bias

$$C(\bar{r}, \bar{s}_m) = 2 \cdot \bar{r} \cdot \bar{s}_m - \|\bar{s}_m\|^2$$

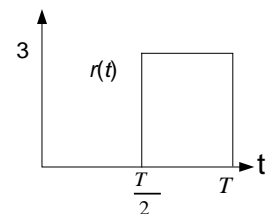
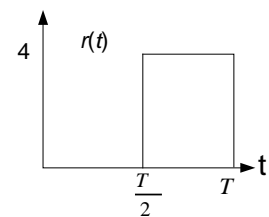
$$C(\bar{r}, \bar{s}_1) = 2 \cdot (4) \cdot (2) - 4 = 16 - 4 = 12$$

$$C(\bar{r}, \bar{s}_2) = 2 \cdot (4) \cdot (6) - 36 = 48 - 36 = 12$$

For the case that the received signal with amplitude of 3 we get the wrong decision unless we remove the bias

$$C(\bar{r}, \bar{s}_1) = 2 \cdot (3) \cdot (2) - 4 = 12 - 4 = 8$$

$$C(\bar{r}, \bar{s}_2) = 2 \cdot (3) \cdot (6) - 36 = 36 - 36 = 0$$



Example:

In binary PAM $s_1 = -s_2 = \sqrt{E_b}$
 Prior probabilities $P(s_1) = \rho, \quad P(s_2) = 1 - \rho$

Determine the metrics of the optimum MAP for AWGN.

$$r = \pm\sqrt{E_b} + y_n(T) \leftarrow \text{zero mean and } \sigma_n^2 = \frac{1}{2}N_0$$

Note: the variance of the sampled noise is $N_0/2$. In general the noise power, $P=N_0B$, According to Nyquist $B=1/(2T)$, When looking at the energy we $E=PT$

$$P(r | s_1) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r - \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

$$P(r | s_2) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r + \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

$$PM(\bar{r}, \bar{s}_1) = \rho p(r | s_1) = \frac{\rho}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r - \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

$$PM(\bar{r}, \bar{s}_2) = (1 - \rho) p(r | s_2) = \frac{1 - \rho}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r + \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

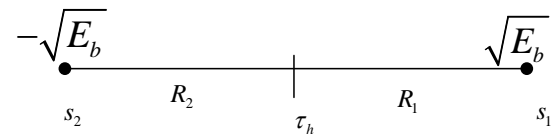
If $PM(\bar{r}, \bar{s}_1) > PM(\bar{r}, \bar{s}_2)$ then choose s_1

$$\frac{PM(\bar{r}, \bar{s}_1)}{PM(\bar{r}, \bar{s}_2)} \underset{s_2}{\overset{s_1}{>}} 1$$

$$\Rightarrow \frac{PM(\bar{r}, \bar{s}_1)}{PM(\bar{r}, \bar{s}_2)} = \frac{\rho}{1 - \rho} \exp\left[\frac{(r + \sqrt{E_b})^2 - (r - \sqrt{E_b})^2}{2\sigma_n^2}\right] \underset{s_2}{\overset{s_1}{>}} 1$$

$$\left[\frac{(r + \sqrt{E_b})^2 - (r - \sqrt{E_b})^2}{2\sigma_n^2}\right] \underset{s_2}{\overset{s_1}{>}} \ln \frac{\rho}{1 - \rho}$$

$$\sqrt{E_b} r \underset{s_2}{\overset{s_1}{>}} \frac{1}{2}\sigma_n^2 \ln \frac{\rho}{1 - \rho} = \frac{1}{4}N_0 \ln \frac{\rho}{1 - \rho}$$



1) If $\rho = 1/2, \tau_h = 0$

2) If $\rho \neq 1/2$, knowledge of N_0 or $\frac{N_0}{E_b}$ is required for optimal detection.

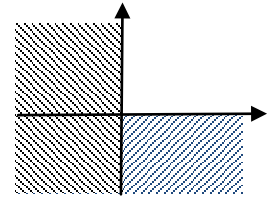
If the M signals are equi-probable.

The maximum Likelihood (ML) minimize P(correct decision)

$$P(c) = \sum_{m=1}^M \frac{1}{M} \int_{R_m} p(\bar{r} | \bar{s}_m) d\bar{r} \quad ML$$

$$P(c) = \sum_{m=1}^M \frac{1}{M} \int_{R_m} p(\bar{s}_m | \bar{r}) p(\bar{r}) d\bar{r} \quad MAP$$

R_m is the region for correct decision. Explain the Union bound Concept of QPSK
 $P(e)=1-P(c)$



The Maximum Likelihood Sequence Detector

If no memory (*symbol-by-symbol detector*) is optimal (minimum probability of error)
 Memory => successive symbols are interdependent.

The maximum likelihood (ML) sequence detector: searches for the minimum Euclidean distance path through the trellis that characterizes the memory in the transmitted sequence.

Example NRZI

(PAM) (zero : like before, one : flip)

$$s_1 = -s_2 = \sqrt{E_b}$$

$$r_k = \pm\sqrt{E_b} + n_k$$

$$P(r_k | s_1) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r_k - \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

$$P(r_k | s_2) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left[-\frac{(r_k + \sqrt{E_b})^2}{2\sigma_n^2}\right]$$

$$P(r_1, r_2, \dots, r_k | s^{(m)}) = \prod_{k=1}^K P(r_k | s_k^{(m)}) = \left(\frac{1}{\sqrt{2\pi}\sigma_n}\right)^k \exp\left[-\sum_{k=1}^K \frac{(r_k - s_k^{(m)})^2}{2\sigma_n^2}\right]$$

Maximize the above probability.

By taking the logarithm and consider only those relevant term.

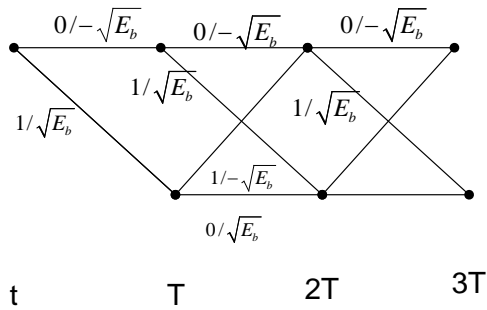
$$D(\bar{r}, \bar{s}^{(m)}) = \sum_{k=1}^K (r_k - s_k^{(m)})^2$$

For binary we need to search 2^k sequences, where k is the sequence length.

Viterbi algorithm: is a sequential trellis search algorithm for performing ML sequence detection

- ⇒ Used for decoding “convolutional codes”
- ⇒ Assume initial state s_0

The example of Binary NRZI



At $2T$ and so on there are two arrows entering the state, we choose the minimum distance “survivor”.

$$D_0(0,0) = (r_1 + \sqrt{E_b})^2 + (r_2 + \sqrt{E_b})^2$$

$$D_0(1,1) = (r_1 - \sqrt{E_b})^2 + (r_2 + \sqrt{E_b})^2$$

For state ‘1’ we do similar

$$D_1(0,1) = (r_1 + \sqrt{E_b})^2 + (r_2 - \sqrt{E_b})^2$$

$$D_1(1,0) = (r_1 - \sqrt{E_b})^2 + (r_2 - \sqrt{E_b})^2$$

At $t=3T$ Suppose the survivors are (0,0) and (0,1)

$$D_0(0,0,0) = D_0(0,0) + (r_3 + \sqrt{E_b})^2$$

$$D_0(0,1,1) = D_1(0,1) + (r_3 + \sqrt{E_b})^2$$

and

$$D_1(0,0,1) = D_0(0,0) + (r_3 - \sqrt{E_b})^2$$

$$D_1(0,1,0) = D_1(0,1) + (r_3 - \sqrt{E_b})^2$$

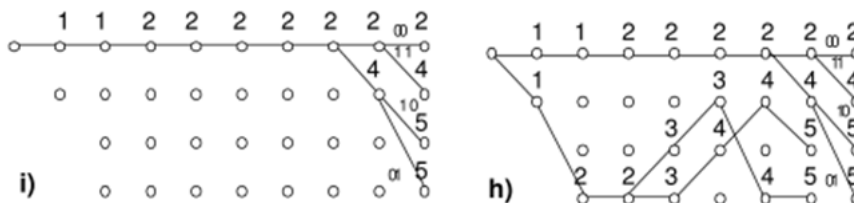
Using Viterbi in this example the number of path searched is reduced by a factor of two at each stage.

The memory length is L ($L=1$ in the previous example)

You make a decision when the survivor path agree. “Variable delay” negative ??

Practically at $5L$ and then make decision at k even then before $k-5L$ is almost identical.

⇒ Make a decision.



Example (in the previous NRZI)

Let $E_b=1$ and the demodulated sequence is (0.9,-0.8,0.3,-1.1,1.2,1.5,-0.7)

$$D_0(0,0) = (0.9+1)^2 + (-0.8+1)^2 = 1.9^2 + 0.2^2 = 3.61 + 0.04 = 3.65$$

$$D_0(1,1) = (0.9-1)^2 + (-0.8+1)^2 = (-0.1)^2 + 0.2^2 = 0.01 + 0.04 = 0.05$$

$$D_1(0,1) = (0.9+1)^2 + (-0.8-1)^2 = 1.9^2 + 1.8^2 = 3.61 + 3.24 = 6.85$$

$$D_1(1,0) = (0.9-1)^2 + (-0.8-1)^2 = 0.1^2 + 1.8^2 = 0.01 + 3.24 = 3.25$$

$$D_0(1,1,0) = D_0(1,1) + (0.3+1)^2 = 0.05 + 1.69 = 1.74$$

$$D_0(1,0,1) = D_0(1,0) + (0.3-1)^2 = 3.25 + 0.49 = 3.74$$

$$D_1(1,1,1) = D(1,1) + (0.3-1)^2 = 0.05 + 0.49 = 0.54$$

$$D_1(1,0,0) = D(1,0) + (0.3+1)^2 = 3.25 + 1.69 = 4.94$$

A symbol by symbol MAP detector for signals with memory.

- Optimum (minimize symbol error)
- If M is large => large computational complexity.
- Used for convolution codes and turbo coding.
- Beyond the scope.

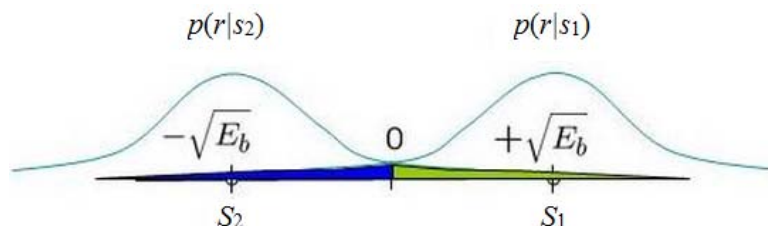
Performance of the Optimum Receiver for Memory less Modulation

Probability of Error for Antipodal Binary Modulation



PAM antipodal $s_1(t) = -s_2(t)$

$r = s_1 + n = \sqrt{E_b} + n$ AWGN



$$P(r | s_1) = \frac{1}{\sqrt{\pi N_0}} e^{-(r - \sqrt{E_b})^2 / N_0}$$

$$P(r | s_2) = \frac{1}{\sqrt{\pi N_0}} e^{-(r + \sqrt{E_b})^2 / N_0}$$

$$P(e | s_1) = \int_{-\infty}^0 P(r | s_1) dr = \frac{1}{\sqrt{\pi N_0}} \int_{-\infty}^0 e^{-(r - \sqrt{E_b})^2 / N_0} dr$$

By replacing variables $x = \frac{r - \sqrt{E_b}}{\sqrt{N_0/2}}$, $dx = \sqrt{\frac{2}{N_0}} dr \Rightarrow dr = \sqrt{\frac{N_0}{2}} dx$

$$x = -\infty, \Rightarrow r = -\infty$$

$$r = 0 \Rightarrow x = -\sqrt{\frac{2E_b}{N_0}}$$

$$= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{-\sqrt{2E_b/N_0}} e^{-x^2/2} dx$$

$$= \frac{1}{\sqrt{2\pi}} \int_{\sqrt{2E_b/N_0}}^{\infty} e^{-x^2/2} dx = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

$$Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^{\infty} e^{-x^2/2} dx$$

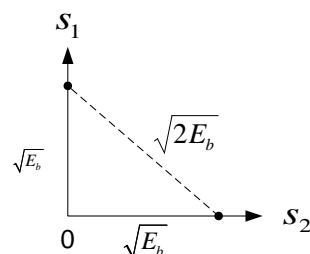
Assuming s_1 and s_2 are equiprobable

$$P_b = \frac{1}{2} P(e | s_1) + \frac{1}{2} P(e | s_2) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

Note P_e depends on the ratio $\frac{E_b}{N_0}$ $d_{12} = 2\sqrt{E_b} \Rightarrow E_b = \frac{1}{4} d_{12}^2$

$$\Rightarrow P_b = Q\left(\sqrt{\frac{d_{12}^2}{2N_0}}\right)$$

For Binary orthogonal signals



$$\begin{aligned} s_1 &= [\sqrt{E_b} \quad 0] \\ s_2 &= [0 \quad \sqrt{E_b}] \end{aligned} \quad d_{12} = \sqrt{2E_b}$$

If s_1 is transmitted

$$\bar{r} = [\sqrt{E_b} + n_1 \quad n_2]$$

$$C(\bar{r}, \bar{s}_m) = 2\bar{r} \cdot \bar{s}_m - \|\bar{s}_m\|^2$$

$$C(\bar{r}, \bar{s}_2) = 2 \cdot [\sqrt{E_b} + n_1 \quad n_2] \cdot [0 \quad \sqrt{E_b}] - E_b \quad (1)$$

$$C(\bar{r}, \bar{s}_1) = 2 \cdot [\sqrt{E_b} + n_1 \quad n_2] \cdot [\sqrt{E_b} \quad 0] - E_b \quad (2)$$

(1) Can be further simplified to $2n_1\sqrt{E_b} - E_b$ and

(2) Can be further simplified to $2E_b + 2n_1\sqrt{E_b} - E_b = E_b + 2n_1\sqrt{E_b}$

Probability of error

$$C(\bar{r}, \bar{s}_2) > C(\bar{r}, \bar{s}_1)$$

$$P[e | \bar{s}_1] = P[C(\bar{r}, \bar{s}_2) > C(\bar{r}, \bar{s}_1)]$$

$$2E_b + 2n_1\sqrt{E_b} - E_b < 2n_2\sqrt{E_b} - E_b$$

$$E_b + (n_1 - n_2)\sqrt{E_b} < 0$$

$$n_2 - n_1 > \sqrt{E_b}$$

$$P(e | s_1) = P[n_2 - n_1 > \sqrt{E_b}]$$

n_1 and n_2 are zero mean Gaussian random variables

$x = n_2 - n_1$ is zero mean Gaussian random variable with variance $= \sigma^2 = N_0$

$$P(n_2 - n_1 > \sqrt{E_b}) = \frac{1}{\sqrt{2\pi N_0}} \int_{\sqrt{E_b}}^{\infty} e^{-x^2/2N_0} dx = \frac{1}{\sqrt{2\pi}} \int_{\sqrt{E_b/N_0}}^{\infty} e^{-x^2/2} dx = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$$

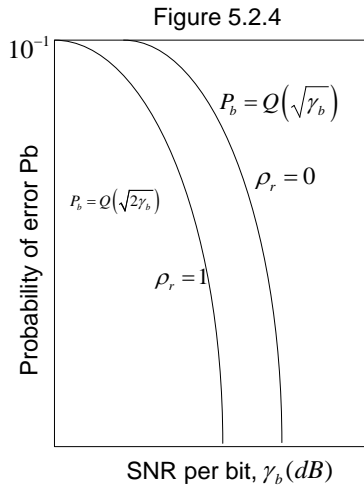
Because of the symmetry s_2 is the same.

$$\gamma_b = \text{SNR/bit}$$

Compare orthogonal with antipodal (factor of 2 increase in energy)

$$10 \log_{10} 2 = -3dB \quad d_{12}^2 = 2E_b \text{ for orthogonal}$$

$$d_{12}^2 = 4E_b \text{ for antipodal}$$



Explain the concept of Union bound

In addition to the above antipodal and orthogonal examples, we can extend the analysis to other modulation techniques. For example,

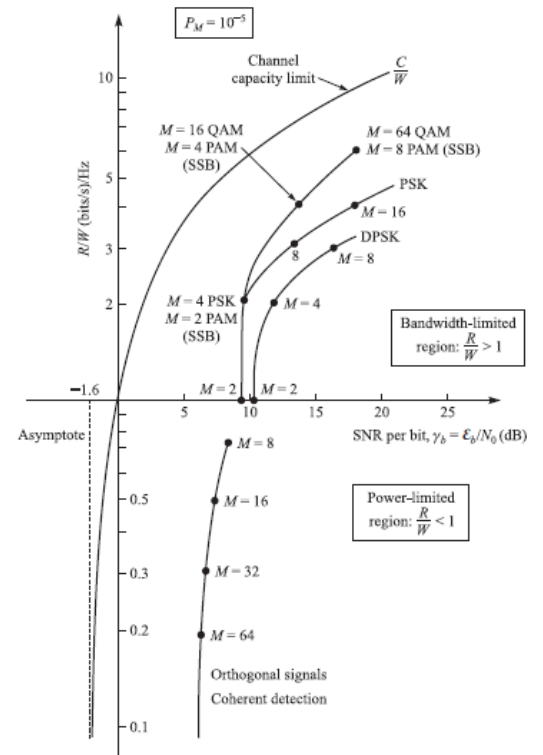
- Many orthogonal signals
- Bi-orthogonal
- Simplex
- M-ary PAM

$$P_M = \frac{2(M-1)}{M} Q\left(\sqrt{\frac{d^2 E_g}{N_0}}\right)$$

$$= \frac{2(M-1)}{M} Q\left(\sqrt{\frac{6(\log_2 M) E_{bav}}{(M^2-1)N_0}}\right)$$

Because $d^2 E_g = \frac{6}{M^2-1} P_{av} T$

- M-ary PSK
- QAM



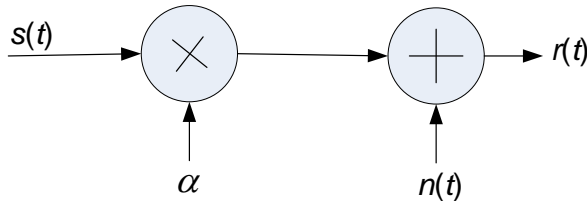
Comparison of Digital Modulation Methods

P 226-229 . Power spectral Efficiency!

Reading Material “Quiz”

Performance analysis for wire-line and radio communication Systems

Regenerative repeaters



Mathematical model for channel with attenuation and additive noise is

$$r(t) = \alpha s(t) + n(t)$$

$$P_b = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \quad \text{PAM Binary.}$$

$k \rightarrow$ Repeaters assuming single error at a time.

$$P \approx kQ\left(\sqrt{\frac{2E_b}{N_0}}\right) \text{ at repeater..... Why not exact equal sign? (error cancellation)}$$

Analog: Required E_b/N_0 reduced by k

$$P \approx Q\left(\sqrt{\frac{2E_b}{kN_0}}\right)$$

At decision receiver \rightarrow note receiver is connected $k= 1+$ repeater.

Example

1000 km 10 km repeater $k=100$ 10^{-5}

$$10^{-5} = 100 Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \Rightarrow 10^{-7} = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \Rightarrow \text{SNR} = 11.3\text{dB}$$

$$10^{-5} = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \Rightarrow \text{SNR} = 29.6 \text{ dB} \quad 29.6 - 11.3 = 18.3 \text{ dB}$$

Link Budget analysis in radio comm. Systems

- Microwave line-of-sight Transmission
- Link budget analysis

$$P_R = \frac{P_T G_T A_R}{4\pi d^2} \quad (1)$$

The design should specify P_T , size of antenna (transmit and receiver)

SNR required to achieve a given performance and data rate.

G_T : antenna transmit gain $\frac{P_T G_T}{4\pi d^2}$, $G_T=1$ for isotropic antenna.

$P_T G_T$: Effective radiated power.

ERP or EIRP compared with isotropic antenna

A_R : effective area of the antenna, $A_R = \frac{G_R \lambda^2}{4\pi}$ (2)

$c = \lambda f$, $c = 3 \times 10^8$ m/s

Substitute (2) in (1)

$$P_R = \frac{P_T G_T G_R}{(4\pi d / \lambda)^2}$$

Free space path loss factor $L_s = \left(\frac{\lambda}{4\pi d}\right)^2$

Additional losses “atmospheric” L_a .

$$P_R = P_T G_T G_R L_s L_a$$

Calculation of the antenna gain is antenna specific and depends on (dimensions) diameter D ,
Illumination efficiency factor

Effective area A_R

Area A

Beam width θ_b

Dish, hornetc

$$(P_R)_{dB} = (P_T)_{dB} + (G_T)_{dB} + (G_R)_{dB} + (L_s)_{dB} + (L_o)_{dB}$$

Example

A geosynchronous satellite orbit (36,000 km)

Power radiated is 100 W 20 dB above 1W -- 20dBW

Transmit antenna gain 17dB ERP = 37 dBW

3-m parabolic antenna at 4 GHz ‘downlink’

$\eta = 0.5$ efficiency factor

$$G_R = \eta \left(\frac{\pi D}{\lambda}\right)^2 = 39dB$$

$$L_s = \left(\frac{\lambda}{4\pi d}\right)^2 = 195.6dB$$

$$(P_R)_{dB} = 20 + 17 + 39 - 195.6 = -119.6 \text{ dBW}$$

$$P_R = 1.1 \times 10^{-12} \text{ W}$$

is this low or high ?

What matter is the SNR.

Noise is flat for up to 10^{-12} Hz

$$N_0 = k_B T_0 \text{ W/Hz}$$

k_B : is Boltzmann's constant 1.38×10^{-23}

Total noise NW

Performance is dependent on $\frac{E_b}{N_0} = \frac{T_b P_R}{N_0} = \frac{1}{R} \frac{P_R}{N_0}$

$$\frac{P_R}{N_0} = R \left(\frac{E_b}{N_0} \right)_{req}$$

Example for the same previous example.

$$P_R = 1.1 \times 10^{-12} \text{ W (-119.6 dBW)}$$

$$N_0 = 4.1 \times 10^{-21} \text{ W/Hz}, \quad P_r = N_0 W = k_B T_0 W \\ = -203.9 \text{ dBW/Hz}$$

$$\frac{P_R}{N_0} = -119.6 + 203.9 = 84.3 \text{ dB Hz}$$

$$\frac{E_b}{N_0} \text{ SNR is 10dB}$$

$$R_{dB} = 84.3 - 10 = 74.3 \text{ dB with respect to 1bit/sec}$$

$$= 26.9 \text{ Mbps}$$

$$420 \text{ PCM (64000 bps)}$$

The introduced safety margin

$$R_{dB} = \left(\frac{P_R}{N_0} \right) - \left(\frac{E_b}{N_0} \right)_{req} - M_{dB} \\ = (P_T)_{dBW} + (G_T)_{dB} + (G_R)_{dB} + (L_a)_{dB} + (L_s)_{dB} - \left(\frac{E_b}{N_0} \right)_{req} - M_{dB}$$